

04/11/01  
 3c772 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

☐ DUPLICATE

PTO  
 09/029929  
 04/11/01

Address to: Commissioner for Patents Box PATENT APPLICATION Washington, DC 20231	Attorney Docket No.	HORN3008/EM/6686
	First Named Inventor (or identifier)	Alex HORNG et al.
	Total Pages	27

Transmitted herewith is a patent application under 37 CFR 1.53(b).

Entitled:	<b>Brushless DC Motor With Axial Winding/Axial Air Gap</b>
-----------	--

- ☒ 1. Submitted herewith are the following:
- 12 pages of specification, including Claims and Abstract
  - 6 sheet(s) of formal drawings (Figs. 1-6)
  - 17 claim(s).
  - 1 Oath/Declaration signed by each inventor.
  - ☐ Preliminary Amendment.
  - ☐ Information Disclosure Statement(s).
  - ☐ pages of Form PTO-1449, and one copy of each document listed thereon.
  - 1 Assignment of the invention, Cover Sheet, and payment of the \$40.00 recordal fee. ✓
  - ☐ certified copy of application no. \_\_\_\_\_ filed in \_\_\_\_\_. Priority is claimed.
  - 1 check in the amount of \$750.00 including any assignment recordal fee.
- ☐ 2. SMALL ENTITY STATUS IS ASSERTED pursuant to 37 CFR 1.27 for this application.
- ☒ 3. The Commissioner is authorized to credit any overpayment and charge any deficiency in any fees required under 37 CFR 1.16 and/or 1.17, to Deposit Account No. 02-0200.
- ☐ 4. Insert before the first sentence of the specification: -- This application claims the benefit of provisional application number \_\_\_\_\_ filed \_\_\_\_\_. --
- ☐ 5. Insert before the first sentence of the specification: -- This application is a Continuation-in-part of nonprovisional application number \_\_\_\_\_ filed \_\_\_\_\_. --
- ☒ 6. Other: Application Data Sheet

The registered practitioners representing applicant(s) are J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Joseph DeBenedictis, Reg. No. 28,502; and Benjamin E. Urcia, Reg. No. 33,805.

THE FILING FEE IS CALCULATED AS FOLLOWS:				Basic Fee:	\$710.00
Total Claims:	17	- 20 =		X \$18 =	
Independent Claims:	1	- 3 =		X \$80 =	
Correspondence Address: BACON & THOMAS, PLLC 625 Slaters Lane, 4 <sup>th</sup> Floor Alexandria, VA 22314-1176				Multiple Dependent Claim (add \$270.00):	
				Subtotal:	710.00
				50% Reduction if Small Entity Status:	
Phone: 703-683-0500 Fax: 703-683-1080				Total:	710.00
Date:	Name:		Signature:		Reg. No.
April 11, 2001	Richard E. Fichter		<i>Richard E. Fichter</i>		26,382

(16OCT00)

09029929 041101

# UTILITY PATENT APPLICATION TRANSMITTAL

☐ DUPLICATE

Address to: Commissioner for Patents Box PATENT APPLICATION Washington, DC 20231	Attorney Docket No. <b>HORN3008/EM/6686</b>	First Named Inventor (or Identifier) <b>Alex HORNG et al.</b>	Total Pages <b>27</b>
---	--	---	--------------------------

Transmitted herewith is a patent application under 37 CFR 1.53(b).

Entitled:	<b>Brushless DC Motor With Axial Winding/Axial Air Gap</b>
-----------	--

- ☒ 1. Submitted herewith are the following:
- 12 pages of specification, including Claims and Abstract
  - 6 sheet(s) of formal drawings (Figs. 1-6)
  - 17 claim(s).
  - 1 Oath/Declaration signed by each inventor.
  - 0 Preliminary Amendment.
  - 0 Information Disclosure Statement(s).
  - 0 pages of Form PTO-1449, and one copy of each document listed thereon.
  - 1 Assignment of the invention, Cover Sheet, and payment of the \$40.00 recordal fee.
  - 0 certified copy of application no. \_\_\_\_\_ filed in \_\_\_\_\_. Priority is claimed.
  - 1 check in the amount of \$750.00 including any assignment recordal fee.
- ☐ 2. SMALL ENTITY STATUS IS ASSERTED pursuant to 37 CFR 1.27 for this application.
- ☒ 3. The Commissioner is authorized to credit any overpayment and charge any deficiency in any fees required under 37 CFR 1.16 and/or 1.17, to Deposit Account No. 02-0200.
- ☐ 4. Insert before the first sentence of the specification: -- This application claims the benefit of provisional application number \_\_\_\_\_ filed \_\_\_\_\_. --
- ☐ 5. Insert before the first sentence of the specification: -- This application is a Continuation-in-part of nonprovisional application number \_\_\_\_\_ filed \_\_\_\_\_. --
- ☒ 6. Other: Application Data Sheet

The registered practitioners representing applicant(s) are J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Joseph DeBenedictis, Reg. No. 28,502; and Benjamin E. Urcia, Reg. No. 33,805.

THE FILING FEE IS CALCULATED AS FOLLOWS:				Basic Fee:	\$710.00	
Total Claims:	17	- 20 =		X \$18 =		
Independent Claims:	1	- 3 =		X \$80 =		
Correspondence Address: BACON & THOMAS, PLLC 625 Slaters Lane, 4 <sup>th</sup> Floor Alexandria, VA 22314-1176				Multiple Dependent Claim (add \$270.00):		
				Subtotal:		710.00
				50% Reduction if Small Entity Status:		
Phone: 703-683-0500		Fax: 703-683-1080		Total:	710.00	
Date:	Name:			Signature:	Reg. No.	
April 11, 2001	Richard E. Fichter			<i>Richard E Fichter</i>	26,382	

(16OCT00)

J1040 U.S. PTO  
 09/829929  
 04/11/01

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

04/12/2001 JBALINAN 00000043 09829929

01 FC:101 710.00 DP

PTO-1556  
(5/87)

\*U.S. GPO: 2000-468-987/39595